Title V, Developing Hispanic-Serving Institutions

National FEDERAL GRANTS CONFERENCE
OCTOBER 21, 2011
Miami Dade College-North Campus

PETER G. FUSSCAS

AGENDA

- Title V Overview/Review
  - Purpose(s) of Title V
  - Programs:
    - Part A: Hispanic-Serving Institutions (HSI)
    - Part B: Promoting Postbaccalaureate Opportunities for Hispanic Americans
    - New HSI Stem and Articulation Program
  - Eligibility
  - Allowable Activities

- FY 2011 UPDATE
  - Funding
  - Continuation Awards
  - Status of Competitions for FY 2012

- Questions and Answers
PURPOSES OF TITLE V

- To expand educational opportunities for, and improve the academic attainment of Hispanic students
- Expand and enhance the academic offerings, program quality, and institutional stability of the colleges and universities that educate the majority of Hispanic students

Title V Overview

Three Title V Programs:

1. Developing Hispanic-Serving Institutions (HSI) Program, Part A

2. Promoting Postbaccalaureate Opportunities for Hispanic Americans (PPOHA) Program, Part B (FY 2009)

3. HSI Stem and Articulation Program (New in FY 2010) -first competition held in FY 2011
### Title V Funding FY 2010

- Part A (HSI): $117,429,000
- Part B (PPOHA): $22,000,000
- HSI Stem & Articulation Program: $100,000,000

**Total Title V Funding (FY 2010): $239,429,000**

### Title V Funding: FY 2011

- Part A (HSI): $104,394,792
- Part B (PPOHA): $20,836,290
- HSI Stem & Articulation Program: $100,000,000

**Total Title V Funding (FY 2011): $225,231,082**
Title V Funding (Part A) FY 1999-2011 (in Millions)

DEVELOPMENT GRANTS

5-year development grants:

- **Individual Development Awards:**
  - Maximum Award (HSI): $650,000 per year
  - Maximum Award (PPOHA): $575,000 per year

- **Cooperative Arrangement Development Awards:**
  - Maximum Award: $775,000 per year (HSI)
TWO COMPONENTS OF ELIGIBILITY

Request for Designation of Eligibility
- Your institution must be certified as an eligible institution before your proposal will be reviewed and before you can receive federal grant funds
- You must apply every year you seek new funds

2) HSI Assurance
- Due with Title V application
- Mandatory for Title V Program eligibility
- An enrollment of undergraduate full-time equivalent (FTE) students that is at least 25% Hispanic
The Department does not pre-certify institutions as HSIs

“Basic” Eligibility is Used By
- Applicants planning to apply for a grant under the SIP, HSI, PPOHA, TCCU, ANNH, NASNTI, AANAPISI, or PBI Programs. Designation as an eligible institution is a prerequisite to being considered for funding under these programs.

AND
- Applicants requesting a waiver of the non-federal cost-share requirements under any of the following programs:
  - The Federal Work-Study Program (FWS);
  - The Federal Supplemental Educational Opportunity Grants Program (FSEOG);
  - The TRIO Student Support Services Studies and Foreign Language Program (UISFL)
WHO IS ELIGIBLE?

- An IHE that is accredited or pre-accredited by a nationally recognized accrediting agency or association; meet the enrollment of needy students and educational and general expenditures.
- A branch campus that is geographically apart from the main campus and independent of that main campus:
  - Permanent in nature;
  - Offers courses for credit and programs leading to an associate or bachelor’s degree;
  - Has its own faculty and administrative or supervisory organization; and
  - Has its own budgetary and hiring authority

FY 2011 “Basic” Eligibility

- Notice inviting applications for eligibility (FY 2011 Eligibility Notice) was published in the Federal Register on December 8, 2010, with a deadline date of January 31, 2011.
- Basic eligibility application for FY 2012 is estimated to be published in November or December of 2011.
### What Eligible IHE Can Apply?

**Individual development grant.**
- An eligible IHE that does not currently have a Title III or Title V grant.
- An eligible IHE whose current Title III/V grant finishes by 9/30/2012.

**Cooperative development grant.**
- Any eligible HSI(s) in cooperation with one or more IHE.
- An IHE may be the lead in a cooperative development grant and also be a partner in a cooperative grant.

### Activities

- Projects can have more than one activity
  - activities do not have to relate to each other
  - each activity may have an activity director
- Allowable and unallowable activities are listed in the regulations (34 CFR 606.10).
  - In general, any activity that meets the purpose of the Title V Program
  - Only address in the CDP the problems you seek funding for
Overview of Common Activities

- **Academic Quality**
  - Faculty development
  - Curriculum development
  - Improvement of basic skills courses
  - Acquisition of library materials and laboratory equipment

- **Student Services**
  - Counseling (peer, career, personal)
  - Tutoring and mentoring
  - Establishing learning communities
  - Improving student facilities and computer labs

- **Student Outcomes**
  - Improving student retention and graduation rates
  - Increasing academic achievement

Overview of Common Activities-Con’t

- **Fiscal Stability**
  - Establishing or improving a development office
  - Strengthening Alumni relationships and fundraising
  - Building an endowment
  - Increase research dollars

- **Institutional Management**
  - Creating and maintaining Management Information Systems
  - Training and developing staff other than teaching faculty
  - Construction and renovation
  - Improving the infrastructure for internet access
<table>
<thead>
<tr>
<th>What Title V Can Fund</th>
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<tbody>
<tr>
<td>- Purchase equipment for education or research</td>
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<td>- Improve instruction facilities [construction, maintenance, renovation]</td>
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<td>- Faculty and staff development</td>
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<td>- Curriculum revision and development</td>
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<td>- Strengthen telecommunications capacity</td>
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<td>- Enhance student services</td>
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<td>- Strengthen administrative and funds management systems</td>
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<td>- Establish or improve a development office</td>
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<td>- Establish or increase the institutional endowment fund</td>
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<table>
<thead>
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<th>Title V Cannot Fund</th>
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<td>- Activities not mentioned in the original application</td>
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<td>- Activities inconsistent with applicable State higher education plans</td>
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<td>- Religious worship</td>
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<td>- Non-degree or non-credit courses</td>
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<td>- Operational costs</td>
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<td>- Salaries for college-wide officials</td>
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<td>- Costs of organized fundraisers</td>
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<td>- Costs for student recruitment</td>
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<td>- Costs of publications to promote the institution</td>
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Electronic Field Review

- Applications are received electronically via Grants.gov
- Each application is screened for eligibility
- Reviewers are chosen from our Field Reader System
- Each reviewer receives training—electronically!
- Reviewers read applications at home and score and comment electronically
- Panel discussions are conducted via conference call

- If you are interested in becoming a reviewer for the Title V Program, visit: [http://opeweb.ed.gov/frs/frsHome.cfm](http://opeweb.ed.gov/frs/frsHome.cfm) and enter your information.

HOW TO APPLY

- Search for grant opportunities
  - The U.S. Department of Education posts all of its grant opportunities for discretionary grants on Grants.gov ([http://www.grants.gov](http://www.grants.gov))
  - Click Find Grant Opportunities on the left side navigation menu
  - Use the Search functionality to find grant opportunities
- Review Federal Register notices carefully
  - Review application instructions
  - Determine deadline: Both Due Date and Time
  - Verify if electronic submission is mandatory or optional
Applying with Grants.gov

- Step 1: Find Grant Opportunity
- Step 2: Browse by Agency
- Step 3: Select Grant Opportunity
- Step 4: Synopsis Page
- Step 5: Download Grant Package
- Step 6: Download Instructions and Application
- Step 7: Fill out Application Package
- Step 8: Sign and Submit
- Step 9: Confirmation Page

TITLE V PART A

- OVERVIEW

- FY 2011 ACTIVITIES
Title V Grantees: Distribution by State, Type and Control

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<td><strong>All States</strong></td>
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<td><strong>113</strong></td>
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Location of Grants

- **AZ** (35%)
- **CA** (14%)
- **CO** (10%)
- **FL** (7%)
- **IL** (4%)
- **KS** (5%)
- **NM** (5%)
- **NJ** (4%)
- **NY** (7%)
- **PA** (5%)
- **PR** (4%)
- **TX** (2%)
- **WA** (1%)
Public Vs. Private

Coop VS. Individual
### PART A-HSI: FY 2011

- **Total Appropriation:** $104,394,792
- **Total Available for new awards:** $6,981,377
- **Total Available for Continuation Awards:** $97,413,415

### FY 2011 Title V-Part A (HSI) New Awards

- No open competition was held in FY 2011:
  - 13 new awards made from ‘funding down’ the FY 2010 HSI slate.
    - 4 awards in CA
    - 2 awards in AZ
    - 2 awards in NM
    - 2 awards in PR
    - 2 awards in TX
    - 1 award in MA
**FY 2011 HSI Non-Competing Continuation (NCC Awards)**

- 160 NCC awards made for a total expenditure of $96,469,525

**Part B (PPOHA)- FY 2011**

- Total Appropriation: $20,836,290
- Total Available for new awards: $0
- 43 NCC awards made
HSI STEM & ARTICULATION PROGRAMS

- Health Care and Education Affordability Act of 2010
- Amends Title III, Part F, section 371 of the Higher Education Act of 1965, as Amended (HEA)
- Appropriates $100 m for Hispanic Serving Institutions FY 2010-2019

PURPOSE OF PROGRAM

- To assist Hispanic-Serving Institutions
  - Develop and carry-out activities that improve and expand their capacity to serve Hispanic and other low-income students
**Purpose—Continued**

Priority given to applications that:
- Increase the number of students attaining degrees in the fields of science, technology, engineering, or mathematics, and
- Develop model transfer and articulation agreements between 2-year HSIs and 4-year institutions in such fields

**FY 2011 Competition (using FY 2010 Funds)**

- 5 year grants awarded through competition
- Funds available: $99,654,674
- Individual and Cooperative grants
- Individual grant maximum: $870,000
- Cooperative grant maximum: $1.2m
FY 2011 Competition-Continued

Number of awards: 108

- Individual Development Grants: 77
- Cooperative Arrangement Development Grants: 31

PROGRAM PRIORITIES

BOTH OF THE FOLLOWING TWO PRIORITIES MUST BE MET:
ABSOLUTE PRIORITY 1

- Increase the number of Hispanic and other low-income students attaining degrees in the fields of science, technology, engineering, or mathematics (STEM).

ABSOLUTE PRIORITY 2

- Develop model transfer & articulation agreements between two-year HSIs and four-year institutions in such fields [STEM].
COMPETITIVE PREFERENCE PRIORITY

- 5 EXTRA POINTS FOR AN APPLICATION THAT MEETS THIS PRIORITY:

- Enabling More Data Base Decision Making

Enabling More Data-Based Decision Making

Projects designed to—collect, analyze, and use high-quality and timely data in the following priority areas: Improving postsecondary student outcomes related to enrollment, persistence, and completion and leading to career success.
Contact Information

- Title V Program Office
  - Sonia Feigenbaum-Director (202) 502-7681
  - Carnisia Proctor (202) 502-7606
  - Maria Carrington (202) 502-7548
  - Peter Fusscas (202) 502-7590
  - Sandra Steed (202) 219-7120
  - Carolyn Proctor (202) 502-7567
  - Richard Gaona (202) 502-7635
  - Everardo Gil (202) 219-7000
  - Njeri Clark (202) 502-7763

QUESTIONS??