

Title V, Developing Hispanic-Serving Institutions



National
FEDERAL GRANTS CONFERENCE
OCTOBER 21, 2011
Miami Dade College-North Campus

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AGENDA



- **Title V Overview/Review**
 - Purpose(s) of Title V
 - Programs:
 - Part A: Hispanic-Serving Institutions (HSI)
 - Part B: Promoting Postbaccalaureate Opportunities for Hispanic Americans
 - New* HSI Stem and Articulation Program
 - Eligibility
 - Allowable Activities
- **FY 2011 UPDATE**
 - Funding
 - Continuation Awards
 - Status of Competitions for FY 2012
- **Questions and Answers**

PURPOSES OF TITLE V

- To expand educational opportunities for, and improve the academic attainment of Hispanic students
- Expand and enhance the academic offerings, program quality, and institutional stability of the colleges and universities that educate the majority of Hispanic students

Title V Overview

Three Title V Programs:

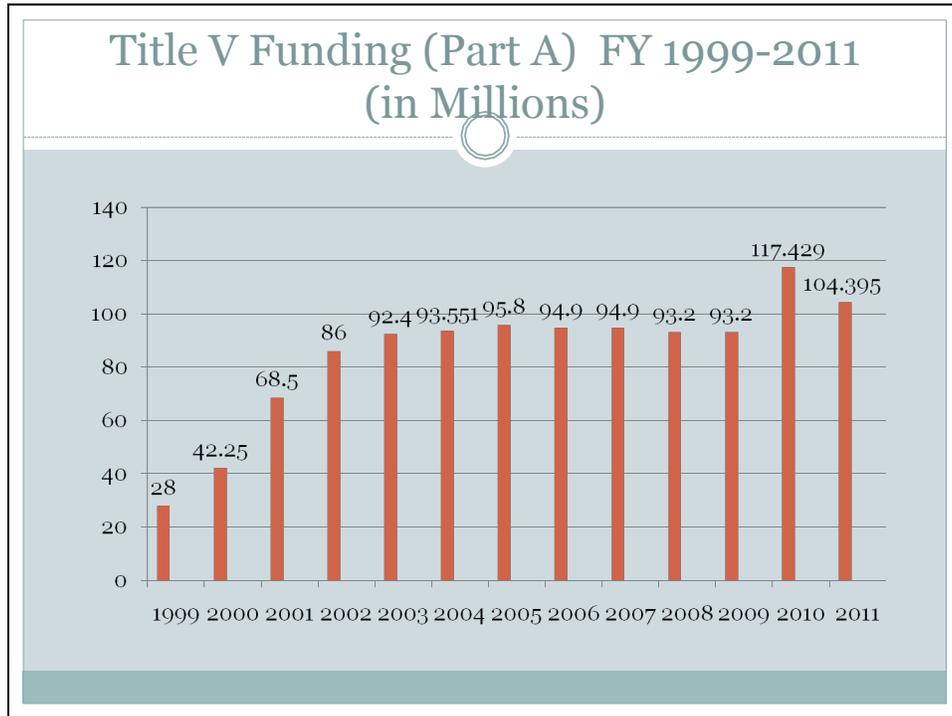
1. Developing Hispanic-Serving Institutions (HSI) Program, Part A
2. Promoting Postbaccalaureate Opportunities for Hispanic Americans (PPOHA) Program, Part B (FY 2009)
3. HSI Stem and Articulation Program (*New* in FY 2010)
-first competition held in FY 2011

Title V Funding FY 2010

• Part A (HSI):	\$117,429,000
• Part B (PPOHA):	\$22,000,000
• HSI Stem & Articulation Program:	\$100,000,000
Total Title V Funding (FY 2010):	\$239,429,000

Title V Funding: FY 2011

• Part A (HSI):	\$104,394,792
• Part B (PPOHA):	\$20,836,290
• HSI Stem & Articulation Program:	\$100,000,000
Total Title V Funding (FY 2011):	\$225,231,082



DEVELOPMENT GRANTS

5-year development grants:

- **Individual Development Awards:**
 Maximum Award (HSI): \$650,000 per year
 Maximum Award (PPOHA): \$575,000 per year
- **Cooperative Arrangement Development Awards:**
 Maximum Award: \$775,000 per year (HSI)

TWO COMPONENTS OF ELIGIBILITY

Request for Designation of Eligibility

- Your institution must be certified as an eligible institution before your proposal will be reviewed and before you can receive federal grant funds
- You must apply ***every year*** you seek new funds

2) HSI Assurance

- Due with Title V application
- Mandatory for Title V Program eligibility
- An enrollment of undergraduate full-time equivalent (FTE) students that is at least 25% Hispanic

The Department ***does not*** pre-certify institutions as HSIs

“Basic” Eligibility is Used By

- Applicants planning to apply for a grant under the SIP, HSI, PPOHA, TCCU, ANNH, NASNTI, AANAPISI, or PBI Programs. Designation as an eligible institution is a prerequisite to being considered for funding under these programs.

AND

- Applicants requesting a waiver of the non-federal cost-share requirements under any of the following programs:
 - The Federal Work-Study Program (FWS);
 - The Federal Supplemental Educational Opportunity Grants Program (FSEOG);
 - The TRIO Student Support Services Studies and Foreign Language Program (UISFL)

WHO IS ELIGIBLE?

- An IHE that is accredited or pre-accredited by a nationally recognized accrediting agency or association; meet the enrollment of needy students and educational and general expenditures.
- A branch campus that is geographically apart from the main campus and independent of that main campus:
 - Permanent in nature;
 - Offers courses for credit and programs leading to an associate or bachelor's degree;
 - Has its own faculty and administrative or supervisory organization; and
 - Has its own budgetary and hiring authority

FY 2011 "Basic" Eligibility

- Notice inviting applications for eligibility (FY 2011 Eligibility Notice) was published in the Federal Register on December 8, 2010, with a deadline date of January 31, 2011.
- *Basic eligibility application for FY 2012 is estimated to be published in November or December of 2011.*

What Eligible IHE Can Apply?

Individual development grant.

- An eligible IHE that does not currently have a Title III or Title V grant.
- An eligible IHE whose current Title III/V grant finishes by 9/30/2012.

Cooperative development grant.

- Any eligible HSI(s) in cooperation with one or more IHE.
- An IHE may be the lead in a cooperative development grant and also be a partner in a cooperative grant.

Activities

- **Projects can have more than one activity**
 - activities do not have to relate to each other
 - each activity may have an activity director
- **Allowable and unallowable activities are listed in the regulations (34 CFR 606.10).**
 - In general, any activity that meets the purpose of the Title V Program
 - Only address in the CDP the problems you seek funding for

Overview of Common Activities

- **Academic Quality**
 - Faculty development
 - Curriculum development
 - Improvement of basic skills courses
 - Acquisition of library materials and laboratory equipment
- **Student Services**
 - Counseling (peer, career, personal)
 - Tutoring and mentoring
 - Establishing learning communities
 - Improving student facilities and computer labs
- **Student Outcomes**
 - Improving student retention and graduation rates
 - Increasing academic achievement

Overview of Common Activities-Con't

- **Fiscal Stability**
 - Establishing or improving a development office
 - Strengthening Alumni relationships and fundraising
 - Building an endowment
 - Increase research dollars
- **Institutional Management**
 - Creating and maintaining Management Information Systems
 - Training and developing staff other than teaching faculty
 - Construction and renovation
 - Improving the infrastructure for internet access

What Title V Can Fund

- Purchase equipment for education or research
- Improve instruction facilities [construction, maintenance, renovation]
- Faculty and staff development
- Curriculum revision and development
- Strengthen telecommunications capacity
- Enhance student services
- Strengthen administrative and funds management systems
- Establish or improve a development office
- Establish or increase the institutional endowment fund

Title V Cannot Fund

- Activities not mentioned in the original application
- Activities inconsistent with applicable State higher education plans
- Religious worship
- Non-degree or non-credit courses
- Operational costs
- Salaries for college-wide officials
- Costs of organized fundraisers
- Costs for student recruitment
- Costs of publications to promote the institution

Electronic Field Review

- Applications are received electronically via Grants.gov
- Each application is screened for eligibility
- Reviewers are chosen from our Field Reader System
- Each reviewer receives training—electronically!
- Reviewers read applications at home and score and comment electronically
- Panel discussions are conducted via conference call

- If you are interested in becoming a reviewer for the Title V Program, visit: <http://opeweb.ed.gov/frs/frsHome.cfm> and enter your information.

HOW TO APPLY

- **Search for grant opportunities**
 - The U.S. Department of Education posts all of its grant opportunities for discretionary grants on Grants.gov (<http://www.grants.gov>)
 - Click Find Grant Opportunitites on the left side navigation menu
 - Use the Search functionality to find grant opportunities
- **Review Federal Register notices carefully**
 - Review application instructions
 - Determine deadline: Both Due Date and Time
 - Verify if electronic submission is mandatory or optional

Applying with Grants.gov

- Step 1: Find Grant Opportunity
- Step 2: Browse by Agency
- Step 3: Select Grant Opportunity
- Step 4: Synopsis Page
- Step 5: Download Grant Package
- Step 6: Download Instructions and Application
- Step 7: Fill out Application Package
- Step 8: Sign and Submit
- Step 9: Confirmation Page

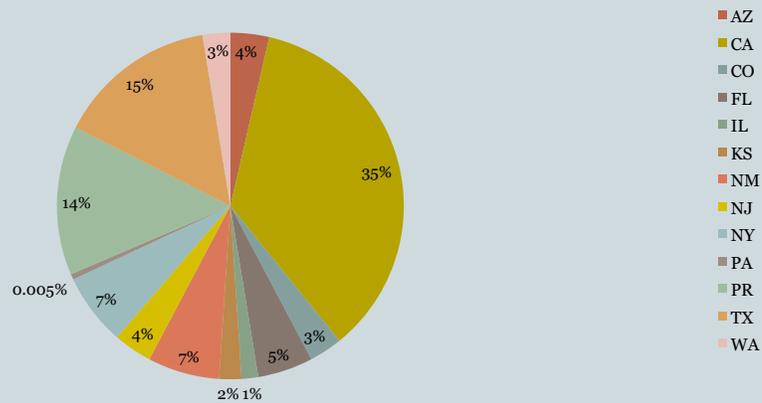
TITLE V PART A

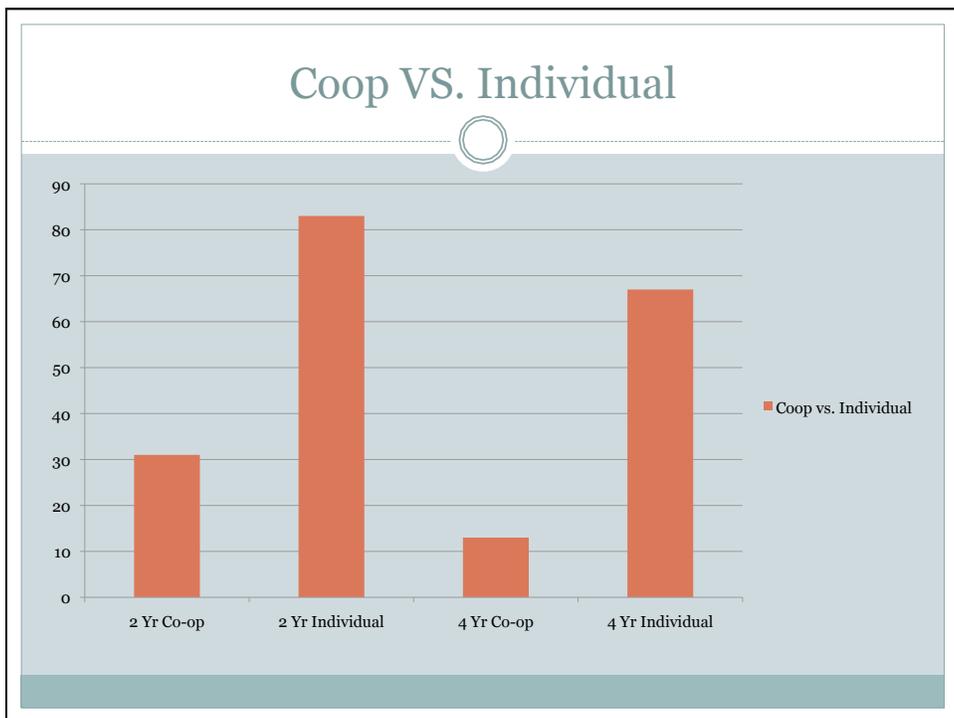
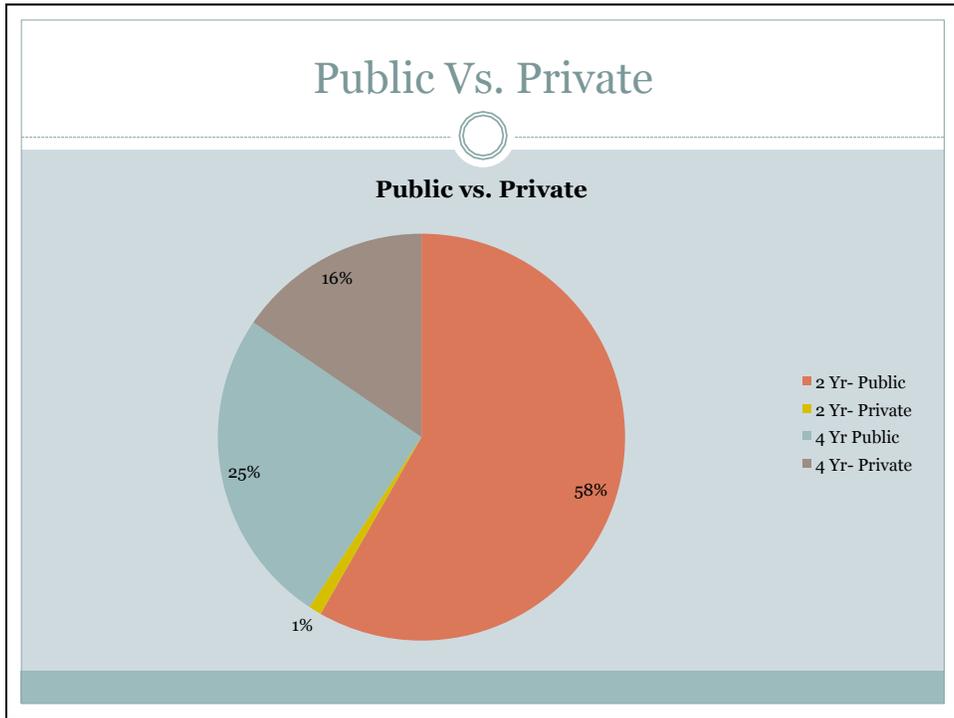
- OVERVIEW
- FY 2011 ACTIVITIES

Title V Grantees: Distribution by State, Type and Control

Title V Grantees	Total Institutions	2-Yr Public	2-Yr Private	4-Yr Public	4-Yr Private
Arizona	7	7			
California	69	56		10	3
Colorado	6	4		2	
Florida	10	2		4	4
Illinois	3	1		2	
Kansas	4	3			1
New Jersey	7	4		2	1
New Mexico	13	7		6	0
New York	13	5		4	4
Pennsylvania	1		1		
Puerto Rico	27		1	12	14
Texas	29	20		7	2
Washington	5	4			1
All States	194	113	2	49	30

Location of Grants





PART A-HSI: FY 2011

- **Total Appropriation:** \$ 104,394,792
- **Total Available for new awards:** \$ 6,981,377
- **Total Available for Continuation Awards:** \$ 97,413,415

FY 2011 Title V-Part A (HSI) New Awards

- **No open competition was held in FY 2011:**
 - 13 new awards made from 'funding down' the FY 2010 HSI slate.
 - × 4- awards in CA
 - × 2- awards in AZ
 - × 2- awards in NM
 - × 2- awards in PR
 - × 2-awards in TX
 - × 1-award in MA

FY 2011 HSI Non-Competing Continuation (NCC Awards)

- 160 NCC awards made for a total expenditure of \$96,469,525

Part B (PPOHA)- FY 2011

- Total Appropriation: \$20,836,290
- Total Available for new awards: \$0
- 43 NCC awards made

HSI STEM & ARTICULATION PROGRAMS

- Health Care and Education Affordability Act of 2010
- Amends Title III, Part F, section 371 of the Higher Education Act of 1965, as Amended (HEA)
- Appropriates \$100 m for Hispanic Serving Institutions FY 2010-2019

PURPOSE OF PROGRAM

- To assist Hispanic-Serving Institutions
 - Develop and carry-out activities that improve and expand their capacity to serve Hispanic and other low-income students

PURPOSE-CONTINUED

Priority given to applications that:

- ❖ Increase the number of students attaining degrees in the fields of science, technology, engineering, or mathematics, and
- ❖ Develop model transfer and articulation agreements between 2-year HSIs and 4-year institutions in such fields

FY 2011 Competition (using FY 2010 Funds)

- **5 year grants awarded through competition**
- **Funds available: \$99,654,674**
- **Individual and Cooperative grants**
- **Individual grant maximum: \$870,000**
- **Cooperative grant maximum: \$1.2m**

FY 2011 Competition-Continued

Number of awards: 108

- **Individual Development Grants: 77**
- **Cooperative Arrangement
Development Grants: 31**

PROGRAM PRIORITIES

**BOTH OF THE FOLLOWING
TWO PRIORITIES MUST BE
MET:**

ABSOLUTE PRIORITY 1

- Increase the number of Hispanic and other low-income students attaining degrees in the fields of science, technology, engineering, or mathematics (STEM).

ABSOLUTE PRIORITY 2

- Develop model transfer & articulation agreements between two-year HSIs and four-year institutions in such fields [STEM].

COMPETITIVE PREFERENCE PRIORITY

- 5 EXTRA POINTS FOR AN APPLICATION THAT MEETS THIS PRIORITY:
- **Enabling More Data Base Decision Making**

Enabling More Data-Based Decision Making

**Projects designed to—
collect, analyze, and use high-
quality and timely data in the
following priority areas:
Improving postsecondary student
outcomes related to enrollment,
persistence, and completion and
leading to career success.**

Contact Information

- **Title V Program Office**

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QUESTIONS??